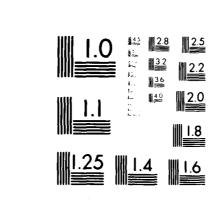
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Phase I Inspection Report Phase I Inspection Report National Dam Safety Program Lake Suzanne Hudson River Basin, Rockland County, New York 6. PERFORMING ORG. REPORT NUMBER Inventory No. 760 8. CONTRACT OR GRANT NUMBER(#) 7. AUTHOR(a) DACW-51-79-C-0001 Eugene O'Brien 10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS 9. PERFORMING ORGANIZATION NAME AND ADDRESS Tippetts-Abbett-McCarthy-Stralton 655 Third Avenue New York, NY 10017 12. REPORT DATE 30 September 1980 New York State Department of Environmental Conservation 50 Wolf Road 13. NUMBER OF PAGES Albany, NY 12233 15. SECURITY CLASS. (of this report) 14. MONITORING AGENCY NAME & ADDRESS(If different from Controlling Office) Department of the Army 26 Federal Plaza New York District, CofE UNCLASSIFIED New York, NY 10287 15a, DECLASSIFICATION/DOWNGRADING SCHEDULE

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Dam Safety National Dam Safety Program Visual Inspection

Pascack Brook Lake Suzanne Rockland County Hudson River

20. ABSTRACT (Continue on reverse side if necessary and identity by block number)

This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual inspection of the dam by the performing organization.

The examination of documents and the visual inspection of Lake Suzanne did not reveal conditions which constitute an immediate hazard to human life or property. However, the dam has some deficiencies which require further investigation and remedial action.

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Hydrology, Structural Stability

Using the corps of Engineers screening criteria for review of spillway adequacy, it has been determined that the dam would be overtopped for all storms exceeding approximately 4 percent of the PMF. The overtopping of the dam could cause the erosion of both abutments and the downstream face of the dam, particularly in the vicinity of the spillway-embankment contact resulting in dam failure, thus significantly increasing the hazard to the loss of life downstream. The spillway is, therefore, adjudged as "seriously inadequate" and the dam is assessed as unsafe, non-emergency.

The classification of "unsafe" applied to a dam because of a "seriously inadequate" spillway is not meant to connote the same degree of emergency as would be associated with an "unsafe" classification applied for a structural deficiency. It does mean that there appears to be a serious deficiency in spillway capacity and if a severe storm were to occur, overtopping and failure of the dam could take place, significantly increasing the hazard to loss of life downstream of the dam.

Structural stability analysis based on available information and the visual inspection inspection that the scaledity of the spillway section against overturning and sliding is inadequate for all loading conditions.

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In addition, the dam has a number of problem areas which, if left uncorrected, have the potential for the development of hazardous conditions and must be corrected within one year. These areas are:

- 1. Rackfill the creded area of the embankment downstream of the left spillway training wall (spillway-embankment contact).
- 2. The reservoir drain should be returned to operating condition.
- 3. Backfill the gully at the right abutment and divert the surface runoff from pavement and adjacent areas away from the right abutment.
- 4. Remove all brush and trees from the crest, embankment slopes and downstream channel. Provide a program of periodic inspection and cutting and mowing of the embankment surfaces and the downstream channel.
- 5. Remove and haul away debris from the downstream channel and at the spillway crest. Provide a program of periodic inspection and removal.
- 6. Provide a program of periodic inspection and maintenance of the dam and appurtenances including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The aforementioned emergency action plan should be maintained and updated periodically during the life of the structure.

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LAKE SUZANNE

ROCKLAND COUNTY, NEW YORK INVENTORY NO. N.Y. 760

PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM



NEW YORK DISTRICT CORPS OF ENGINEERS

AUGUST 1980

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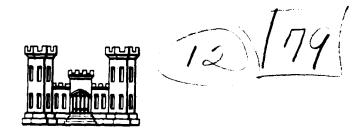
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NEW YORK DISTRICT CORPS OF ENGINEERS

AUGUST 1980

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PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C., 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigations, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aid in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

PHASE I INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
LAKE SUZANNE DAM
I.D. NO. N.Y. 760
D.E.C. NO. 196D-4179
HUDSON RIVER BASIN
ROCKLAND COUNTY, NEW YORK

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LAKE SUZANNE DAM
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D.E.C. NO. 196D-4179
HUDSON RIVER BASIN
ROCKLAND COUNTY, NEW YORK

Name of Dam:

Lake Suzanne, N.Y. 760

State Located:

New York

County Located:

Rockland

Basin:

Hudson River

Stream:

Pascack Brook (a tributary of

Hackensack River)

Date of Inspection:

July 26, 1980

ASSESSMENT

The examination of documents and the visual inspection of Lake Suzanne did not reveal conditions which constitute an immediate hazard to human life or property. However, the dam has some deficiencies which require further investigation and remedial action.

Using the Corps of Engineers screening criteria for review of spillway adequacy, it has been determined that the dam would be overtopped for all storms exceeding approximately 4 percent of the PMF. The overtopping of the dam could cause the erosion of both abutments and the downstream face of the dam, particularly in the vicinity of the spillway-embankment contact resulting in dam failure, thus significantly increasing the hazard to the loss of life downstream. The spillway is, therefore, adjudged as "seriously inadequate" and the dam is assessed as unsafe, non-emergency.

The classification of "unsafe" applied to a dam because of a "seriously inadequate" spillway is not meant to connote the same degree of emergency as would be associated with an "unsafe" classification applied for a structural deficiency. It does mean that there appears to be a serious deficiency in spillway capacity and if a severe storm were to occur, overtopping and failure of the dam could take place, significantly increasing the hazard to loss of life downstream of the dam.

Structural stability analysis based on available information and the visual inspection indicates that the stability of the spillway section against overturning and sliding is inadequate for all loading conditions.

It is therefore recommended that within 3 months of notification to the owner, detailed hydrologic/hydraulic investigations of the structure should be undertaken to more accurately determine the site specific characteristics of the watershed and their affect upon the overtopping potential of the dam. The results of these investigations will determine the appropriate remedial measures which will be required to achieve a spillway capacity adequate to discharge the outflow from at least the one-half PMF. Within twelve months of the date of notification to the owner, modifications to the structure, deemed necessary as a result of studies, should have been completed. At the same time, a detailed investigation of the structural stability of the spillway should be performed. In the interim, a detailed emergency action plan must be developed and implemented during periods of unusually heavy precipitation. Also, around-the-clock surveillance of the structure must be provided during these periods.

In addition, the dam has a number of problem areas which, if left uncorrected, have the potential for the development of hazardous conditions and must be corrected within one year. These areas are:

- 1. Backfill the eroded area of the embankment downstream of the left spillway training wall (spillway-embankment contact).
- 2. The reservoir drain should be returned to operating condition.
- 3. Backfill the gully at the right abutment and divert the surface runoff from pavement and adjacent areas away from the right abutment.
- 4. Remove all brush and trees from the crest, embankment slopes and downstream channel. Provide a program of periodic inspection and cutting and mowing of the embankment surfaces and the downstream channel.
- 5. Remove and haul away debris from the downstream channel and at the spillway crest. Provide a program of periodic inspection and removal.
- 6. Provide a program of periodic inspection and maintenance of the dam and appurtenances including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The aforementioned emergency action plan should be maintained and updated periodically during the life of the structure.

Eugend O'Brien, P.E. New York No.: 29823,

Approved by:

Col. W. M. Smith, Jr.

New York District Engineer

50 511/80

Date:

. OVERVIEW OF DAM

PHASE I INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
LAKE SUZANNE DAM
I.D. NO. N.Y. 760
D.E.C. NO. 196D-4179
HUDSON RIVER BASIN
ROCKLAND COUNTY, NEW YORK

SECTION 1 - PROJECT INFORMATION

1.1 GENERAL

a. Authority
The Phase I inspection reported herein was authorized by the State of New York, Department of Environmental Conservation, by letter dated 7 January 1980, in fulfillment of the requirements of the National Dam Inspection Act, Public Law 92-367, 8 August 1972.

b. Purpose of Inspection
This inspection was conducted to evaluate the existing conditions of the dam, to identify deficiencies and hazardous conditions, to determine if these deficiencies constitute hazards to life and property, and to recommend remedial measures where required.

1.2 DESCRIPTION OF PROJECT

The Lake Suzanne Dam consists of an earth embankment with a concrete spillway located on the right side of the embankment. From available information (See Appendix A), the embankment is estimated to be about 50 feet long and has a maximum height of about 8 feet. The width of the crest varies from 10 to 30 feet. The upstream slope is estimated to be about 1 vertical on 4 horizontal and the downstream slope, 1 vertical on 5 horizontal. It should be noted that during the visual inspection, the left abutment and the downstream toe of the embankment could not be accurately located because of flat topography, heavy vegetation and ground cover.

The spillway is about 24 feet wide, ungated and has a sill 2 feet wide and 1.6 feet below the top of the dam. The downstream face of the spillway has two steps. The spillway is flanked upstream by concrete training walls.

A 16-inch diameter cast iron reservoir drain is located near the left end of the spillway. Discharge from the drain is manually operated by a worm gear mechanism connected to a gate valve located downstream of the spillway.

The downstream channel of the spillway is a natural streambed consisting of gravel and boulders. Flow from the reservoir drain empties into the channel.

b. Location

The dam is located on Pascack Brook, a tributary of Hackensack River and the Hudson River, approximately 200 feet from the intersection of Suzanne Drive and Vincent Road in the northwest section of the Village of Spring Valley.

- c. Size Classification
 The dam is 8 feet high and impounds approximately
 36.4 acre-feet. Therefore, the dam is in the "small" size category (less than 40 feet in height).
- d. Hazard Classification
 The dam is classified as high hazard due to several homes located 500 feet downstream from the dam and its location within the Village of Spring Valley.
- e. Ownership
 Lake Suzanne Dam is owned by Mr. Morton Ellish, 4910
 North Travellers, Palm Lane, Tamarao, Florida, Telephone No.
 (305) 278-5600.
- f. Purpose of Dam
 The impoundment provided by the dam is for recreational purposes.
- g. Design and Construction History
 Original design and construction records are not
 available. The date when the dam was built is unknown. From
 visual inspection of the dam, it appears that the spillway was
 widened to increase the discharge capacity and both spillway
 training walls were repaired. No records are available for
 the spillway widening and training wall repairs.
- h. Normal Operating Procedures
 Lake level is maintained at the crest of the spillway, depending upon the inflow into the lake. At present, the outflow from the lake is over the spillway only. The operating condition of the reservoir drain could not be determined because the owner or owner's representative was not present at the time of the inspection.

1.3 PERTINENT DATA

- a. Drainage Area (sq. mi.)
- b. Discharge at Dam
 Maximum Known Flood at Site
 Unknown
 Ungated Spillway at Maximum Pool, cfs
 130

1.48

c.	Elevation (feet USGS Datum) Top of Dam Spillway Crest	481.0 479.4
d.	Reservoir Length of Normal Pool, Feet Surface Area of Maximum Pool, Acres Surface Area of Minimum Pool, Acres	700 12 8
e.	Storage, Acre-Feet Spillway Crest Top of Dam	36.4 52.4
f.	Dam Type Length Height Crest Width Side Slopes	Earth 50 feet (estimated) 8 feet (estimated) Varies from 10 to 30 feet (estimated)
	Upstream: Downstream:	<pre>1V:4H (estimated) 1V:5H (estimated)</pre>
g.	Spillway Type Crest Width	Uncontrolled Concrete 24 feet
h.	Reservoir Drain Type Control	<pre>16-Inch Cast Iron Manually Operated Gate Valve</pre>

SECTION 2 - ENGINEERING DATA

2.1 GEOLOGY

Lake Suzanne is located in the Triassic Lowland physiographic province of New York State. This province includes a sedimentary rock sequence, the Brunswick Formation, overlying the Palisade diabase, both of the Newark group. The Brunswick Formation consists of sandstone, red shale conglomerate and limestone conglomerate. Drainage in the area is to the south and generally controlled by north-south joints.

2.2 SUBSURFACE INVESTIGATIONS

No subsurface investigation data could be located for the dam. However, there were three borings performed at the dam during Pascack Brook improvement studies in 1974. The location and the logs of borings are shown on the drawings prepared by William H. Youngblood Associates, Consulting Engineers and Surveyors, Monsey, N.Y., and are given in Appendix A. The borings indicate that the local soil is dense glacial till consisting mostly of fine to medium sand with varying proportions of gravel and silt.

2.3 DESIGN RECORDS

There are no design data, construction drawings or design memoranda available for the project features.

2.4 CONSTRUCTION RECORDS

There are no construction records available for the original dam and subsequent repairs.

2.5 OPERATION RECORDS

There are no records for operation of the reservoir kept by the owner. There are no records available of rainfall and operation of the gate.

2.6 EVALUATION OF DATA

Existing information was made available by the owner (by telephone), the New York State Department of Environmental Conservation and William H. Youngblood Associates, Consulting Engineers and Surveyors, Monsey, N.Y.

The information obtained from available data and the visual inspection is considered adequate for this Phase I inspection and evaluation.

SECTION 3 - VISUAL INSPECTION

3.1 FINDINGS

a. General

Visual inspection of Lake Suzanne Dam was made on Thursday, 26 July 1980. At the time of the inspection, the owner or owner's representative was not present. The weather was sunny and temperature ranged between 80-85°F. Rainfall occurred in the area the previous night. At the time of the inspection, the reservoir level was at spillway crest (El. 479.4).

b. Embankment

The left abutment and the downstream toe of the embankment could not be accurately located because of flat topography, heavy vegetation and ground cover. However, from available information, the length of the embankment is estimated to be about 50 feet; the upstream and downstream slopes are about 1 vertical and 4 horizontal and 1 vertical and 5 horizontal, respectively. The embankment appears generally to be in good condition except in the vicinity of the spillway-embankment contact, where the embankment fill downstream of the left spillway training wall has been eroded apparently as a result of overtopping. A portion of the eroded area was backfilled with a mass concrete blanket immediately downstream of the training wall leaving the remaining portion unrepaired. In addition, subsequent overtopping or runoff has eroded a 3 foot wide, 3 foot deep gully between the embankment and the concrete blanket (See Photograph 6).

The horizontal and vertical alignments of the crest are generally good. The crest is heavily covered with vegetation including trees, saplings, bushes and ground cover.

The upstream slope above reservoir level is in fair condition. The slope is covered with vegetation including trees, saplings and bushes.

The downstream slope of the embankment, which could not be accurately determined, appears to be in good condition. The slope is covered with vegetation including trees, saplings, bushes and heavy ground cover. No seepage was observed.

c. Spillway

The concrete spillway which is located on the right side of the embankment, appears to be in generally good condition. It appears that in order to increase the capacity of the spillway, portions of the training walls at both ends have been removed. The sill in these portions is irregular and is about 0.5 to 1 inch lower than the remainder of the crest. At the time of the inspection, flow was over these portions (See Photograph 4). There is some debris collected at the crest.

The downstream stepped face is in good condition, except at several locations where it is spalled (See Photograph 4). The left and right upstream training walls appear to be in fair condition. The entire upstream face of the right wall above the reservoir level is spalled. The top and downstream faces of the wall above the ground surface were repaired by capping with rough, finished concrete. The entire upstream face of the left training wall above the reservoir level is spalled.

The condition of the downstream face of the wall could not be determined because it is covered by the mass concrete blanket.

There is a wooden planked access bridge which spans the spillway and the eroded area.

d. Appurtenant Structures

The reservoir drain is rusted and appears that it has not been operated for many years. It is reported by the owner (telephone conversation) that the drain is in operating condition. However, the gate was not operated because the owner or the owner's representative was not present during the inspection.

e. Downstream Channel

The channel immediately downstream from the spill-way is a natural bed consisting of gravel and boulders. There is minor vegetation and debris in the channel. There is some vegetation including large trees on the banks, however, these will not impede discharges from the spillway drain.

f. Abutments

The left abutment of the dam could not be accurately located due to flat topography, heavy vegetation and ground cover. No seepage was observed at the left or right abutments. At the right abutment-spillway contact there is a shallow gully caused by surface runoff from the roadway and adjacent areas (See Photograph 7).

g. Reservoir Area

In the vicinity of the dam, there was no evidence of sedimentation, sloughing, potentially unstable slopes, or other unusual conditions which would adversely affect the dam.

3.2 EVALUATION OF OBSERVATIONS

Visual observations made during the course of the investigation reveal several deficiencies which should be corrected before further deterioration leads to a hazardous condition. The deficiencies and recommended measures to improve these are as follows:

- 1. The eroded area of the embankment, downstream of the left spillway training wall, should be backfilled to the level of the embankment crest.
- 2. The reservoir drain should be returned to operating condition.
- 3. Divert the surface runoff at the right abutment away from the spillway by means of a drainage ditch. Also backfill the gully to the level of the right abutment.
- 4. Remove brush and trees from the crest, embankment slopes, and from the downstream channel. Provide a program of periodic cutting and mowing of the embankment surfaces and the downstream channel.
- 5. The debris from the downstream channel and at the spillway crest should be removed and hauled away. Provide a program of periodic inspection and removal.
- 6. Provide a program of periodic inspection and maintenance of the dam and appurtenances including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The aforementioned emergency action plan should be maintained and updated periodically during the life of the structure.

SECTION 4 - OPERATION AND MAINTENANCE PROCEDURES

4.1 PROCEDURES

There are no formal operation procedures for the project. The discharges from the reservoir are uncontrolled.

4.2 MAINTENANCE OF DAM

There is no operation and maintenance manual for the dam. The maintenance of the dam is inadequate as evidenced by the condition of the reservoir drain, erosion of the embankment downstream of the left training wall and heavy vegetation on the embankment slopes and crest.

4.3 WARNING SYSTEM IN EFFECT

There is no warning system in effect or in preparation.

4.4 EVALUATION

The operation and maintenance of Lake Suzanne is considered inadequate as noted in Section 3, "Visual Inspection".

SECTION 5 - HYDROLOGIC/HYDRAULIC

5.1 DRAINAGE AREA CHARACTERISTICS

Lake Suzanne Dam is located in the northwest section of the Village of Spring Valley, Rockland County, New York, Hydrologic Unit Code 02030103. The watershed contributing to the reservoir is 1.48 square miles, varying in relief from a lake elevation of 480 to about 700 feet MSL. Land cover is approximately 30 percent woods and orchards and 70 percent urban and suburban.

5.2 ANALYSIS CRITERIA

The analysis of Lake Suzanne Dam was performed using the U.S. Army Corps of Engineers HEC-1 DB computer program (Ref. 1). The Probable Maximum Precipitation (PMF) was taken from Hydrometeorological Report No. 33 (Ref. 4) and distributed by the standard EM 1110-2-1411 method (Ref. 6). A unit hydrograph was developed using the Snyder method, and coefficient values of $C_t = 2.7$ and $C_p = 0.703$. The loss rates assumed were an initial loss of 2 inches and a constant loss rate of 0.05 inches per hour, and resulted in an excess rainfall of 22.33 inches in 72 hours with a total loss of 3.02 inches, and a PMF peak inflow of 3207 cfs.

5.3 SPILLWAY CAPACITY

The spillway is 24 feet in length with a crest width of about 2 feet at El 479.4, 1.6 feet below the top of the dam. The computed maximum discharge capacity of the spillway is 130 cfs.

5.4 RESERVOIR CAPACITY

The normal capacity of Lake Suzanne is reported to be 36.4 acre-feet. Surcharge storage between El 479.4 (spill-way crest elevation) and the top of the dam, El 481, is 16 acre-feet which is equivalent to 0.2 inches of runoff over the entire basin. Maximum capacity of the reservoir is 52.4 acre-feet.

5.5 FLOODS OF RECORD

There are no records of floods available.

5.6 OVERTOPPING POTENTIAL

The potential of the dam being overtopped was investigated on the basis of the spillway capacity and the available

surcharge to meet the computed design flood inflows. Analysis indicates the spillway does not have sufficient capacity for one-half the Probable Maximum Flood (PMF), and overtopping would occur for all storm events exceeding 4 percent of the PMF.

The PMF, routed through the lake resulted in a peak outflow of 3182 cfs and a corresponding surface elevation of 484.31, 3.31 feet over the dam. One-half PMF routed through the lake resulted in a peak outflow of 1591 cfs and a corresponding surface elevation of 483.43, 2.43 feet over the dam.

5.7 EVALUATION

The dam does not have sufficient spillway capacity to pass either the PMF or one-half the PMF without overtopping of the dam. The overtopping could cause the failure of the dam, thus significantly increasing the hazard to loss of life downstream. Therefore, the spillway is assessed as being "seriously inadequate" and the dam is assessed as unsafe, non emergency.

SECTION 6 - STRUCTURAL STABILITY

6.1 EVALUATION OF STRUCTURAL STABILITY

a. Visual Observation

Visual observation did not indicate any serious structural problems with the dam. The observed erosion of embankment fill at the spillway contact are not detrimental to the dam's stability or safety at the present time.

b. Design and Construction Data

There are no design computations or other data pertaining to the structural stability of the dam available.

There are two drawings prepared as part of the Pascack Brook improvement studies by William H. Youngblood Associates, Consulting Engineers and Surveyors, Monsey, New York. The drawings show the topography of the dam site and subsurface explorations.

c. Stability Analysis

Since there are no contract drawings or documents available showing the full geometry and the extent of the spillway section, the primary source of structural and subsurface information used in the stability analysis is as follows:

- 1. The crest and downstream face surface geometry of the exposed spillway was measured during the inspection using approximate methods (See sketch of the spillway section in Appendix A).
- 2. Other geometry of the non-exposed spillway structure and subsurface information was obtained from available data prepared by William H. Youngblood Associates.

The following table shows the results of the structural stability analysis of the spillway section. The computation for the analysis is given in Appendix E.

	Loading Condition	Location of Resultant	Sliding F.S. (See Appendix E)
a.	Normal loading condition, reservoir level at spill-way crest, no ice load	1.3 feet out- side middle third	0.95
b.	Normal loading condition, reservoir level at spill-way crest, with ice load	15 feet out- side middle third	0.27
c.	Unusual loading: flood level equal to 1/2 PMF at gravity section	3.5 feet out- side middle half	0.35

	Loading Condition	Location of Resultant	Sliding F.S. (See Appendix E)
d.	Extreme loading: flood level equal to PMF at the gravity section	8.06 feet out- side middle half	0.28
e.	Unusual loading: reservoir level at spillway crest, and earthquake forces	1.25 feet out- side middle half	0.86
	rordez		0.80

The results of the structural analysis indicates that the stability of the spillway section against overturning and sliding are inadequate for all loading cases.

Since there is a lack of information regarding the exact geometry of the spillway, foundation conditions and the extent and magnitude of the uplift pressure under the spillway, the structural stability analysis could not be accurately assessed with any reliability. It is therefore recommended that in conjunction with the hydraulic/hydrologic studies, a more detailed structural stability analysis be performed. Field investigations should be carried out to obtain additional information regarding the uplift pressure within and under the base of the spillway; the quality of the foundation; the geometry and extent of the spillway structure; and the condition of the non-exposed concrete. The information should then be incorporated into a more detailed structural stability evaluation.

- d. Operating Records
 There are no records of the regulating gate operation.
- e. <u>Post-Construction Changes</u>
 There are no recorded post-construction changes.
- f. Seismic Stability
 The dam is located in Seismic Zone 1 in accordance with Phase I recommended guidelines. However, based on the past earthquake experience in the area, the New York State Geological Survey considers the area to be in Seismic Zone 2. Based on this assessment, the dam is considered to be in Seismic Zone 2. The results of the seismic stability are described in Section 6.1c.

SECTION 7 - ASSESSMENT/RECOMMENDATIONS

7.1 ASSESSMENT

a. Safety

Examination of available documents and a visual inspection of the dam and the appurtenant structures did not reveal conditions which constitute an immediate hazard to human life or property. However, the dam has some deficiencies which require further investigation and remedial action.

Using the Corps of Engineers screening criteria for review of spillway adequacy, it has been determined that the dam would be overtopped for all storms exceeding approximately 4 percent of the PMF. The overtopping of the dam could cause the erosion of both abutments and the downstream face of the dam, particularly in the vicinity of the spillwayembankment contact resulting in dam failure, thus significantly increasing the hazard to loss of life downstream. The spillway is therefore adjudged as "seriously inadequate" and the dam is assessed as unsafe, non-emergency.

The classification of "unsafe" applied to a dam because of a "seriously inadequate" spillway is not meant to connote the same degree of emergency as would be associated with an "unsafe" classification applied for a structural deficiency. It does mean, however, that based on an initial screening and preliminary computations, there appears to be a serious deficiency in spillway capacity so that if a severe storm were to occur, overtopping and failure of the dam would take place, significantly increasing the hazard to loss of life downstream from the dam.

The results of the stability analysis indicates that the stability of the spillway against overturning and sliding are inadequate for all loading cases.

- b. Adequacy of Information
 The information and data available were adequate for performance of this investigation, except as noted in Section 6.1c.
- c. Need for Additional Investigations
 Since the spillway is considered to be "seriously inadequate", additional hydrologic/hydraulic investigations are required to more accurately determine the site specific characteristics of the watershed. After the in-depth hydrologic/hydraulic investigations have been completed, remedial measures must be initiated to provide spillway capacity sufficient to discharge the outflow from the 1/2 PMF event. In addition, an investigation of the structural stability of the spillway portion of the dam is required.

d. Urgency

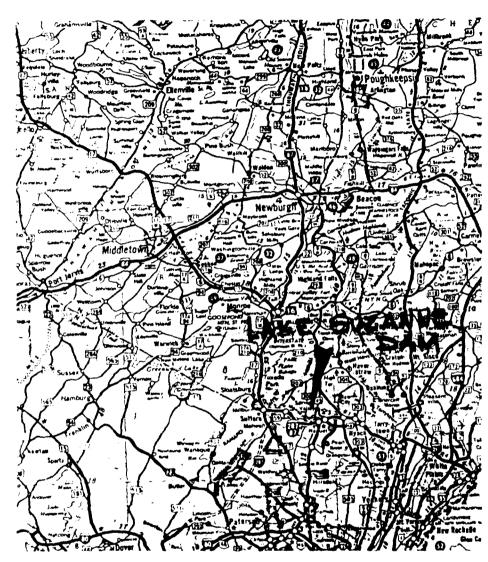
The additional hydrologic/hydraulic investigations and the stability investigation which are required must be initiated within 3 months from the date of notification. Within 12 months of notification, remedial measures as a result of these investigations must be initiated, with completion of these measures during the following year. In the interim, develop an emergency action plan for the notification of downstream residents and proper governmental authorities in the event of overtopping, and provide round-the-clock surveillance of the dam during periods of extreme runoff. The other problem areas listed below must be corrected within 1 year from notification.

7.2 RECOMMENDED MEASURES

- 1. Backfill the eroded area of the embankment downstream of the left spillway training wall (spillway-embankment contact).
- 2. The reservoir drain should be returned to operational condition.
- 3. Backfill the gully at the right abutment and divert surface runoff from pavement and adjacent areas away from the right abutment.
- 4. Remove all brush and trees from the crest, embank-ment slopes and downstream channel. Provide a program of periodic inspection and cutting and mowing of the embankment surfaces and the downstream channel.
- 5. Remove debris from the downstream channel and at the spillway crest. Provide a program of periodic inspection and removal.
- 6. Provide a program of periodic inspection and maintenance of the dam and appurtenances including yearly operation and lubrication of the reservoir drain system. Document this information for future reference. The aforementioned emergency action plan should be maintained and updated periodically during the life of the structure.

DRAWINGS

APPENDIX A

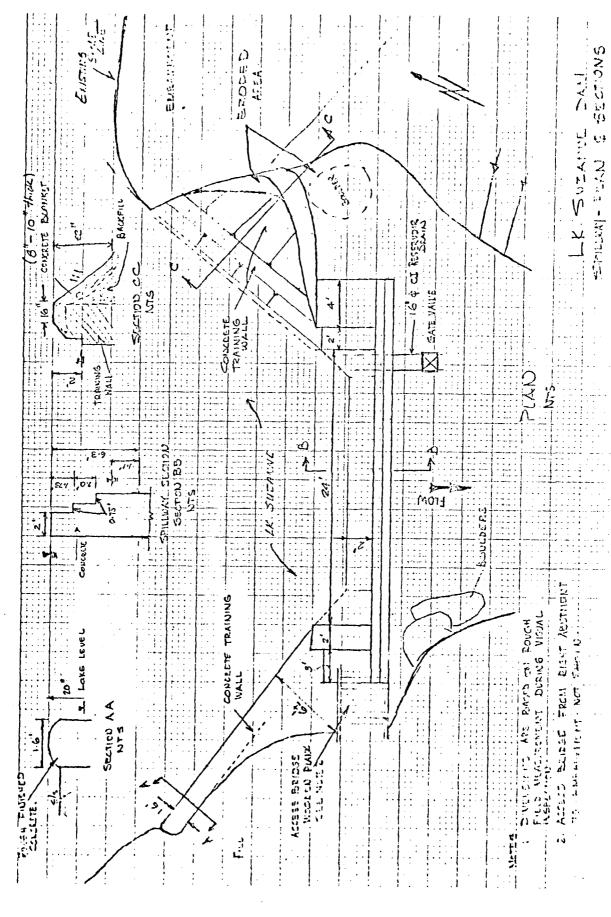


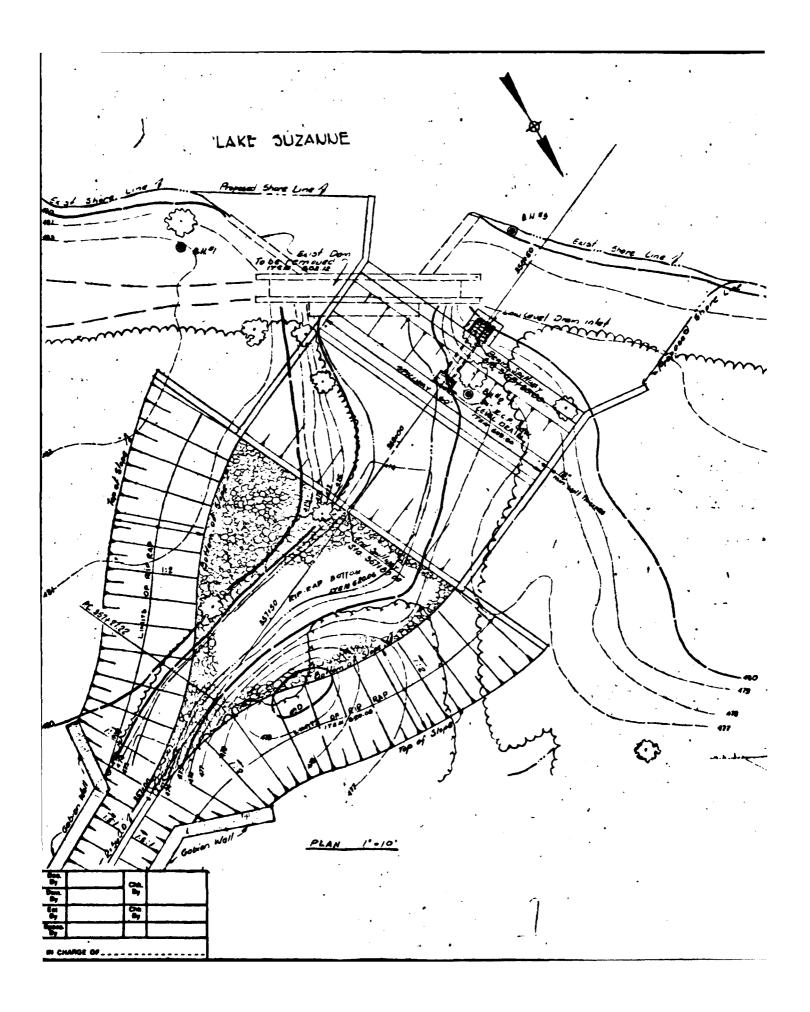
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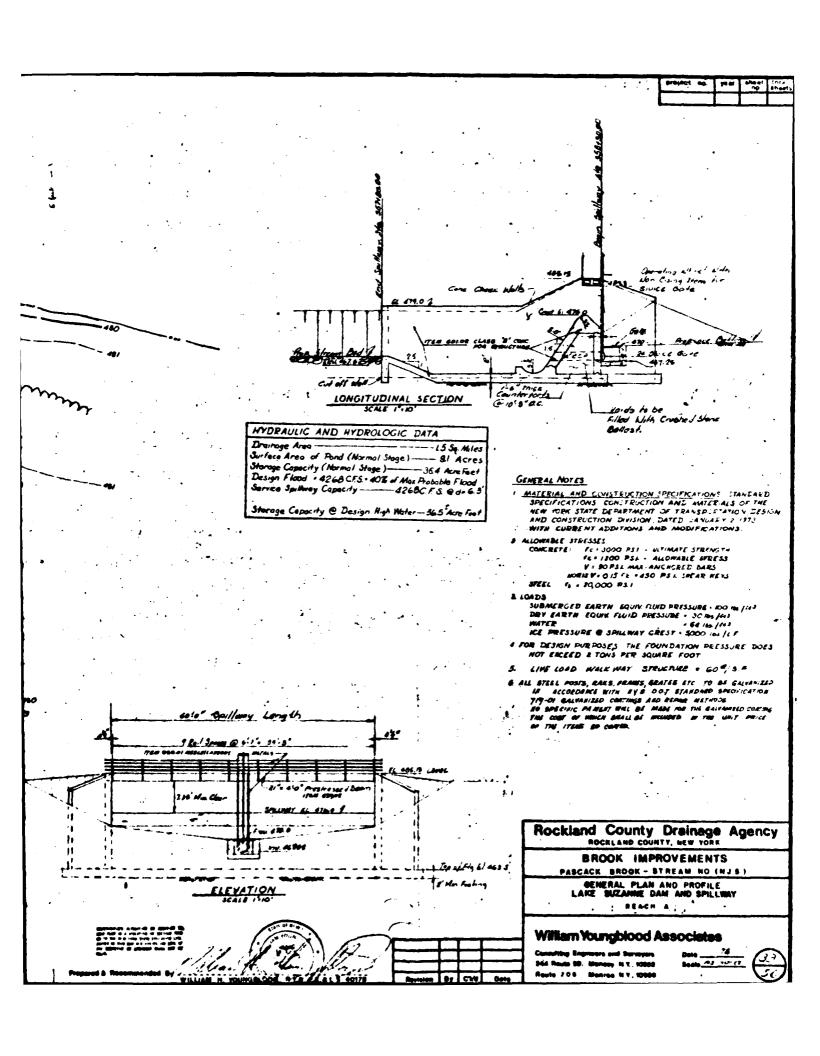
VICINITY MAP LAKE SUZANNE DAM

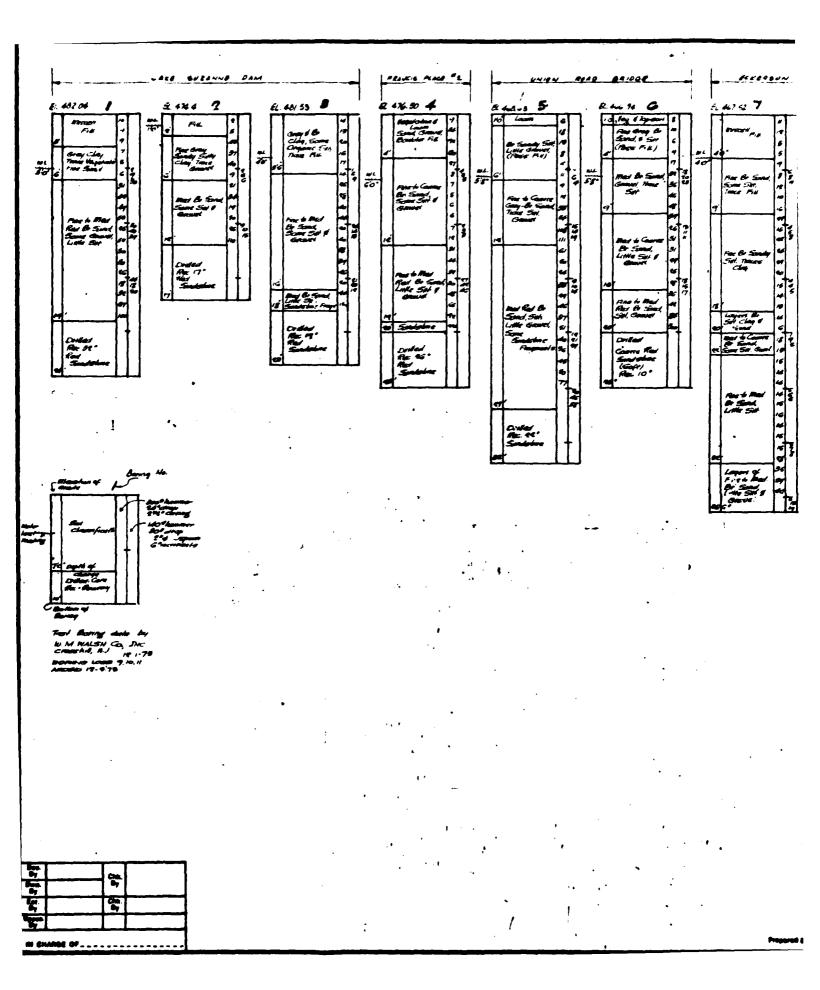


TOPOGRAPHIC MAP LAKE SUZANNE DAM









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PHOTOGRAPHS

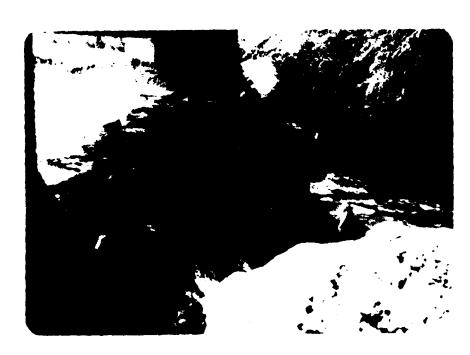
APPENDIX B



2. UPSTREAM VIEW OF DAM



3. VIEW OF DOWNSTREAM CHANNEL. NOTE VEGETATION.



4. VIEW OF SPILLWAY. NOTE SPALLING OF CONCRETE AT TRAINING WALL AND FACE OF SPILLWAY AND MINOR DEBRIS COLLECTED AT CREST.

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5. VIEW OF REGULATING CONTROL FOR RESERVOIR DRAIN.



6. VIEW AT CONTACT BETWEEN DOWNSTREAM FACE OF LEFT SPILLWAY TRAINING WALL AND THE EMBANK-MENT. NOTE EROSION OF EMBANKMENT FILL.



7. VIEW OF RIGHT ABUTMENT. NOTE GULLY CAUSED BY SURFACE RUNOFF.

VISUAL INSPECTION CHECKLIST

APPENDIX C

VISUAL INSPECTION CHECKLIST

Bas	ie Data
a.	General
	Name of Dam Avr Constitution
	Fed. I.D. # 760 DEC Dam No. 1965-4179
	River Basin Lower Hotocom
	Location: Town STEWIS VALLEY County ROCKLAND
	Stream Name DAGGACK, DEGGALL.
	Tributary of Hemenera Entz
	Latitude (N) 41° 29' 15" Longitude (W) 73° 56' 20"
	Type of Dam EAPAH WITH CONCERNS TAMEDOLT
	Hazard Category High
	Date(s) of Inspection July 24. 1980
	Weather Conditions SUNBY TOUR ROLL FOR REVER PRIVATE ME
•	Reservoir Level at Time of Inspection th 479.4
b.	Inspection Personnel Harmer's Transact Line Assertion
c.	Persons Contacted (Including Address & Phone No.) Contact
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	gasquargement - 2 pt case - 8 communications and a communication of the
d.	Mistory:
	Date Constructed
	Designer (1907, 671)
	Constructed By (2004-201)
	Owner No. Macroll Friend, ACTO MORTH TRAVELLERS, David Leve
	TAMARAO, FLOUIDA TEL NO. (805) DOR-5500.

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	(3) .	Impervious Core Univers						
	(4)	Internal Drainage System						
	(5)	Miscellaneous the length and the embandance						
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	(2)	Horizontal Alignment:						
:	(3)	Surface Cracks how. of hypert.						
	(4)	Miscellaneous one of April Applican and April 150						
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	(2)	Undesirable Growth or Debris, Animal Burrows Oyer control of the C						
		Sloughing, Subsidence or Depressions News of the Control of the Co						

(4) Slope Protection Notice Connect					
(5)	Surface Cracks or Movement at Toe				
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(5)	Seepage Ohner ohner				
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(6)	External Drainage System (Ditches, Trenches; Blanket)				
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(7)	Condition Around Outlet Structure (Conceptume)				
(8)	Seepage Beyond Toe News Observed, in the				
•	Aug 60 feet downstream from Shoe line.				
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3)			System
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	b.	Cond	ition of System
	c.	Discl	narge from Brainage System
4)	Ins:	trumei ezome	ntation (Momumentation/Surveys, Observation Wells, Weirs, ters, Etc.)
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5)	Res	<u>ervoir</u>
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	c.	Unusual Conditions Which Affect Dam No.c.
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	b.	Seepage, Unusual Growth horse, odanie d.
	c.	Evidence of Movement Beyond Toe of Dam None Color
	d.	Condition of Downstream Channel human allies and inguistion
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c.	Condition of Auxiliary Spillway	
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	Material:	
	Joints:	Alignment
	Structural Integrity:	
	Hydraulic Capability:	
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HYDROLOGIC DATA AND COMPUTATIONS

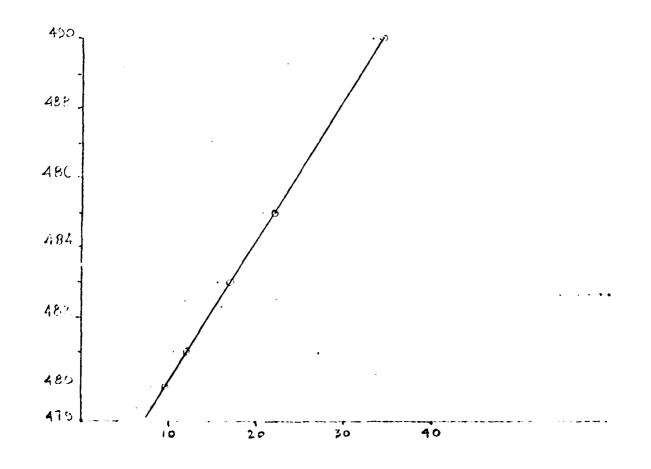
APPENDIX D

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STABILITY ANALYSIS

APPENDIX E

	1511	Sheet of
	PHACE I MESTICIONS LE SUPANNE STABILITY MARYETS	Date <u>8-5-89</u>
Subject	LC SUCKINE SHIPTEN / HERT JETT	Ch'k. by

Assumptions

1. The wind weight of concrete is assumed to be 150 lbs/cuft.

2. Ice load of 5000 list/sqft acting about 1ft

-from top of dam (Corps Engn. Criteria)

3 Angle of internal resistance of 'till' 15

assumed to be 35.0

1. Dom site 15 in Seismic Zone 2

LOADING CONDITIONS

Crist I Neimal loading; LK. level of Spillway Crist 24 4770

CASE II. Normal loading : LK level at Spillway Crest Elitter &

Cast II Unusual looding U. level of 1/2 PMF

CALL IV Extreme loading LK level at PMF

Cost I Unusual leading: It level at shilling creek and earthquake forces on &

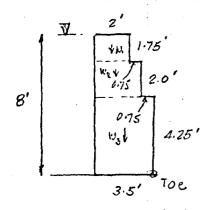
	1511 PHOSE I INSPECTION	Sheet 2 of /2 Date 8-5-60
Subject	LY. SUZANNE STABILITY ANALYSIS	- By
	STABILITY CRITERIA:	

The stability criteria against overturning and sliding were evaluated as follows.

Overturning - Stability is considered adequate if the resultant of all forces falls within the middle third of the base under the normal loading condition and within middle half of the base under the unusual and extreme loading conditions.

uated using the friction factor of safety (FFS) which is equal to Y tan \$\Psi\$ H, where V is the sum of vertical forces acting on the base, H is the sum of all horizontal forces and tan \$\Psi\$ Friction Factor the stability with respect to sliding is considered adequate if the FFS exceeds 1.50 under normal loading conditions, 1.25 under unusual loading conditions and 1.1 under extreme loading conditions.

a. Dead Loads



. EM at Toe

 $W_1 = 2 \times 1.75 \times 0.15 : 0.525 \times 2.5 = 1.31$

 $W_2 : 2.75 \times 2.0 \times 0.15 = 0.825 \times 2.125 = 1.75$

 $W_3 : 3.5 \times 4.25 \times 0.15 = 2.23 \times 1.75 = 3.90$

Fy 3.58 MR: 6.96

元:1.94

0.525 x 7.125 = 3.74

0.825 × 5.25 : 4.33

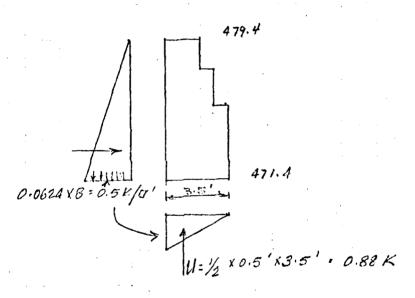
2.23 × 2.125 = 4.74

Fy 3.58 12.81 \(\bar{Y} = 3.55'\)

Decident	1511 NYS	Dam Inspection	Sheet 4 of Date 8.5-60
Subject	LK	SUTANNE - STABILITY ANALYSIS	By JP
			Ch'k. by

6 HYDEOSTATIC FORCES

Normal loading : WI @ SPILLWAY CREST E1. 479.4



EMat T32 $P = \frac{1}{2} \times 0.5 \times 8 = 2.0^{7} \times 2.67 = 5.33$ $U = \frac{1}{2} \times 0.5 \times 3.5' = 0.881 \times 2.33 = 2.05$

Fy: 0.88 K 1 FH: 20 K > MR: 0 Mo: 7.33 KF

Job No. 1511

Project N Y 5 Dans Inspection

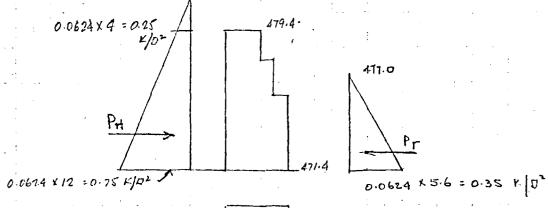
Subject 2K SUPPNIE - STRELLITY ANALYSIS

OF SPILLINAY

Ch'k, by _______

UNUSUAL LOADING WL Equal to 1/2 PMF

E1. 483.4; Tailwafer E1. 477.0



0.75

 $P_{H} = (0.25 + 0.75) 8 : 4.0 \times 3.33 = 13.82$

PT (1/2 x 0.35 x 5.6) 0.6 = 0.59 x 1.9 = 1.12 60% TW French

 $11 = (0.35 + 0.75) 3.5 = 1.93 \times 1.98' = 3.81$

FV = 1.93 1

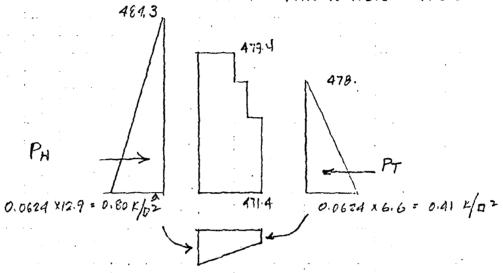
FH = 3.41 x ->

MR = 1.12 KF

Mo : 17.13 KF

Job No.	<u> 1511 </u>	Sheet 6 of
Project	PHASE I DAM INSPECTION	Date 6-5-80
Subject	STAPSILITY ANALYSIS - LK SUZZADE	By
		Ch'k. by

MAXIMUM LOADING WE EQUAL TO PMF LEVEL 4843 TAIL NATER 478.0



ZM @ Toe

$$P_{H} = \left(\frac{0.31 + 0.80}{2}\right)8 = 4.44 + y \cdot 3.41 = 15.14$$

$$U = \left(\frac{0.41 + 0.80}{2}\right)3.5 = 2.12 + x \cdot 1.93 = 4.09$$

$$P_{T} = \left(\frac{1}{2} \times 0.41 \times 6.6\right)0.6 = 0.81 + 2.2 = 1.78$$

$$F_{V} = 2.12^{K_1}$$

 $F_{H} = 3.63^{K_{H}}$

Project NY STATE DAM INSPECTION Date E-5-80

Subject STAPPILITY ANALYSIS - LK SUTANNE By JP

bject STAPOILITY ANALYSIS - LK SUTANNE By JP

Ch'k. by

ICE LOAD

5 x 7.5 = 37.5

7.5'

CASE I NORMAL ZOADING - WI OF SPILLWAY CREST NO ICE LOAD

Dead Load 3.58 - 6.96 Hydrostatic 0.88 2.0 - 7.38

2.70 2.0 6.96 7.38

EM = 6.96 - 7.38 = -0.42 KF

e = 3.5 - -0.42 = 1.75 + 0.15 • 1.90' D/s From

Resultant location

-0.42 -3.5 :-0.15 -1.17 · 1.32 outside
2.70 3 middle third.

23 psi at Tue -12 psi at Heel

FRICTION FACTOR OF SAFETY

FFS = 2.70 x fan 35° = 0.95

Job No. 1511

Project 11 Y State Daw Inchestion

Subject State for Inchestion

Subject State for Inchestion

Date 6-5-80

Ch'k, by ______

CASE TT NORMAL LOADING WITH ICE LOAD; AND W.L SPILLWAY CEEST

Dead load 3.58 V 6.96

Hydraslatic 0.881 2.0 7.4

Ice load 5.0 37.5

2.70 7.0 6.96 44.9

EM : 6.96 - 44.9 = -37.9 KF

 $e: \frac{3.5}{2} - \frac{37.9}{270} = 1.75 + 14.0 = 15.75$ d/s from

Resultant location

 $-\frac{37.9}{2.70} - \frac{3.5}{3} = -14.0 - 1.17 = -15.17 \text{ outside}$ Middle third

 $\beta = \frac{2.7 \text{ MF}}{3.5} \left(1 \pm \frac{6 \times 15.75}{3.5} \right) \frac{1000}{144} = \left(5 \pm 145 \right)$ = 150 psi @ Tec. -140 psi @ back

Triction Factor of Safety

FFS: 2.70 tan 35° 1.89 = 0.27 < 1.5

Project N.Y. 5 Dans Inspection Subject IK SUTANNE DAM - PLASE / INSPECTION CASE III UNUSUAL LOADING : 1/2 PMF Dead load 3.584 - 6.96
Hydrastatic 1.931 3.41 1.12 17.13 9.08 17.13 EM = 8.08 - 17.13 = 9.05 EF = 7.11 d/s from \$ $e: \frac{3.5}{2} - \frac{9.05}{1.69}$ RESULTANT LOCATION = . .6.65 out side middle third $-\frac{9.05}{1.65} - \frac{3.5}{3}$ $\beta : \frac{1.65}{3.5} \left(1 \pm \frac{6 \times 7.11}{3.5} \right) \frac{1000}{144} = \left(3 \pm 55 \right)$ -37 psi @ 4001.

FRICTION FACTOR OF SAFETY

FFS = 1.69 tan 35" = 1.18 = 0.35 < 1.25

Job No. 1511

Project N Y S DAM INSPECTION

Subject LE SUE NNE DAM - STABILITY

ANALYSIS

Ch'k. by

CASE TV EXTREME LOADING = PMF

EM = 8.74 - 19.23 = -10.49 EF $e : \frac{3.5}{2} - \frac{10.49}{1.46} = 1.75 + 7.18 = 8.93 4/s from$

RESULTANT LOCATION

-10.49 - 3.5 = -7.18 - 1.17 = 8.35 outside 1.46 3 middle third

 $p = \frac{1.46}{3.5} \left(1 \pm \frac{6 \times 8.93}{3.5} \right) \frac{1000}{144} = \frac{47 \cdot fsi @ toe}{-41 \cdot psi @ Heel}.$

FRICTION FACTOR OF SAFETY

FFS = 1.46 fam 560 = 0.28

3.63

LK SUFFINNE STATILITY ANALYSIS

8/5/:

CASE IE: Normal loading with Earthquair

Zongens Method C= 0.726 when 0 000

1) Algebrodynamic Torres

国品

P = 0.726 x 0.05 x 0.0621 482 = 0.14 1/4.

Mp = 0.11/2 (0.4 x 8) = 0.45 ICF.

2 Dypamic Forces

1/5 = 0.05 (2.7) = 0.14 K

MND = 0.14 XY = 0.14 X3.58 = 0.50 EF

1-1c Mo Dead load 3.50 V 0.0 6.96 Hydrodatic 0.881 2.0 > 7. SE Hydrodypianic 0.19 > 0.45 Dynamic. 0.14> 0.50 2.28+ 6.96 E 2.7 8.33

That 10 of 12 By JP Efflo

EM = 6.96 - 8.33 = -1.37 Kr

Resultant bootien -1.37 - -3.5 =-0.50 - 0.88

- 1.38 Onderdor

2 - 35 - -0.50 : 1.75 +0.50 : 2.25' d/s from

 $p = \frac{2.7}{3.5} \left(1 + \frac{6.42.25}{5.5} \right) \frac{1000}{114} = \frac{5.419}{24/100\%}$ $= \frac{24/100\%}{-14/100\%}$

FRICTION FACTOR OF SAFETY

FFS: 27 4an 35° : 0.86 < 1.25

REFERENCES

APPENDIX F

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